

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: MADISON WILLIAMS AND COMPANY LLC § Case No. 11-15896-MG

§

§

Debtor(s)

§

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED  
AND APPLICATION TO BE DISCHARGED (TDR)**

GREGORY M. MESSER, TRUSTEE, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$451,683.25</u> (without deducting any secured claims)	Assets Exempt: <u>N/A</u>
Total Distribution to Claimants: <u>\$1,344,234.39</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$2,022,591.87</u>	

3) Total gross receipts of \$ 3,366,826.26 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$ 0.00 (see **Exhibit 2**), yielded net receipts of \$3,366,826.26 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$0.00	\$0.00	\$0.00	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	0.00	2,287,551.79	2,036,389.14	2,022,591.87
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	0.00	202,895.35	153,073.13	153,073.13
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	0.00	2,154,252.29	1,777,903.75	1,191,161.26
<b>TOTAL DISBURSEMENTS</b>	\$0.00	\$4,644,699.43	\$3,967,366.02	\$3,366,826.26

4) This case was originally filed under Chapter 7 on December 29, 2011.  
The case was pending for 70 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 10/20/2017 By: /s/GREGORY M. MESSER, TRUSTEE  
Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 –GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
Acct #5000629166; Payment #5; SETTLEMENT PAYMENT	1241-000	12,000.00
Acct #5000629166; Payment #6; DEPOSIT REVERSAL	1241-000	-12,000.00
INT EARNED ON REFUND ON EMPLOYER UNSEC FUTA PAYME	1224-000	3.69
interest on refunded money	1224-000	2.38
JP Morgan Chase Bank Account No. *****2171 (Gene	1129-000	69,060.03
JP Morgan Chase Bank Account No. *****4812 (Payr	1129-000	19,258.98
Turnover of leasehold , furniture and equipment.	1129-000	50,000.00
Refund of Insurance premium	1290-000	4,082.73
AGREEMENT FOR REPAYMENT	1290-000	335,977.00
POLICY REFUND	1290-000	13,743.00
TURN OVER OF FUNDS	1290-000	225,009.91
REFUND OF UNUSED RETAINER	1290-000	16,558.33
FAP, USA, LP ADVERSARY PROCEEDING SETTLEMENT	1241-000	698,000.00
REFUND OF WARRANT FEES	1290-000	328.25
TURNOVER OF TRUST ACCOUNT BALANCE	1290-000	455.00
TURN OVER OF BROKERAGE ACCOUNT	1290-000	257,215.78
BORDER TO BORDERTURN OVER OF ACCOUNTS RECEIVABLE	1221-000	729.41
ELAND OIL AND GAS SETTLEMENT	1249-000	16,039.19
NEWTEH DRILLING PRODUCTS	1249-000	335,416.13
VGR, LLC f/k/a GEOPHYSICAL RESEARCH CO, LLC STIP	1249-000	400,000.00
PINNACLE SUMMER INVESTMENTS, INC f/k/a EDELMAN F	1249-000	60,000.00
SETTLEMENT OF CLAIMS AGAINST BENNETT ASSOCIATES	1249-000	75,000.00
LINC ENERGY OPERATIONS INC. ADV. PROC.	1249-000	29,000.00
LAND AND LAKES SETTLEMENT	1249-000	75,000.00
STEVEN SOUHRADA SETTLEMENT	1249-000	15,000.00
SETTLEMENT COWEN, STEPHEN NASH, WEEKES & ASIT SE	1249-000	245,000.00

FLETCHER INTERNATIONAL LTD BANKRUPTCY	1290-000	2,687.09
TXON ADVERSARY PROCEEDING.	1241-000	214,000.00
RECOVERY OF UNCLAIMED PROPERTY	1229-000	16,270.93
BRUCE CUMMINGS / ELBEN LLC	1249-000	87,500.00
MADISON WILLIAMS HOLDING, LLC LITIGATION	1249-000	30,000.00
UNITAH PARTIES	1249-000	75,000.00
NYS UNEMPLOYMENT INSURANCE	1224-000	488.08
Interest Income	1270-000	0.35
<b>TOTAL GROSS RECEIPTS</b>		<b>\$3,366,826.26</b>

<sup>1</sup>The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

## **EXHIBIT 2 –FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		
<b>TOTAL FUNDS PAID TO DEBTOR AND THIRD PARTIES</b>			<b>\$0.00</b>

## **EXHIBIT 3 –SECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
			None			
<b>TOTAL SECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

## **EXHIBIT 4 –CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee Compensation - GREGORY M. MESSER	2100-000	N/A	124,254.79	124,254.79	123,337.51
Trustee Expenses - GREGORY M. MESSER	2200-000	N/A	2,208.97	2,208.97	2,208.97
Clerk of the Court Costs (includes adversary and other filing fees) - CLERK	2700-000	N/A	1,465.00	1,465.00	1,465.00
Other - LAMONICA HERBST & MANISCALCO, LLP	3210-000	N/A	1,383,713.43	1,152,028.18	1,143,523.56
Other - LAMONICA HERBST & MANISCALCO, LLP	3220-000	N/A	57,147.39	56,949.39	56,949.39
Other - DAVIS, GRABER, PLOTZKER & WARD, LLP	3410-000	N/A	611,828.10	592,683.60	588,308.23
Other - DAVIS, GRABER, PLOTZKER & WARD, LLP	3420-000	N/A	1,223.41	1,088.51	1,088.51

Other - The Bank of New York Mellon	2600-000	N/A	84.90	84.90	84.90
Other - ADP, INC.	2690-000	N/A	1,434.96	1,434.96	1,434.96
Other - The Bank of New York Mellon	2600-000	N/A	602.73	602.73	602.73
Other - The Bank of New York Mellon	2600-000	N/A	944.91	944.91	944.91
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	4,106.76	4,106.76	4,106.76
Other - The Bank of New York Mellon	2600-000	N/A	1,375.49	1,375.49	1,375.49
Other - The Bank of New York Mellon	2600-000	N/A	1,591.28	1,591.28	1,591.28
Other - GREGORY MESSER, TRUSTEE	2300-000	N/A	380.18	380.18	380.18
Other - The Bank of New York Mellon	2600-000	N/A	1,395.08	1,395.08	1,395.08
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	1,360.41	1,360.41	1,360.41
Other - The Bank of New York Mellon	2600-000	N/A	1,563.91	1,563.91	1,563.91
Other - LORI LAPIN JONES, ESQ.	3210-600	N/A	7,500.00	7,500.00	7,500.00
Other - The Bank of New York Mellon	2600-000	N/A	1,510.86	1,510.86	1,510.86
Other - The Bank of New York Mellon	2600-000	N/A	1,357.59	1,357.59	1,357.59
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	363.79	363.79	363.79
Other - The Bank of New York Mellon	2600-000	N/A	1,596.78	1,596.78	1,596.78
Other - The Bank of New York Mellon	2600-000	N/A	1,994.21	1,994.21	1,994.21
Other - Rabobank, N.A.	2600-000	N/A	1,612.61	1,612.61	1,612.61
Other - Rabobank, N.A.	2600-000	N/A	1,903.72	1,903.72	1,903.72
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	195.31	195.31	195.31
Other - Rabobank, N.A.	2600-000	N/A	1,613.27	1,613.27	1,613.27
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	289.71	289.71	289.71
Other - Rabobank, N.A.	2600-000	N/A	1,766.99	1,766.99	1,766.99
Other - Rabobank, N.A.	2600-000	N/A	2,232.53	2,232.53	2,232.53
Other - Rabobank, N.A.	2600-000	N/A	2,379.22	2,379.22	2,379.22
Other - GREGORY MESSER, TRUSTEE	2300-000	N/A	581.75	581.75	581.75
Other - Rabobank, N.A.	2600-000	N/A	2,097.03	2,097.03	2,097.03
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	314.71	314.71	314.71
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	339.71	339.71	339.71
Other - Rabobank, N.A.	2600-000	N/A	1,740.15	1,740.15	1,740.15
Other - Rabobank, N.A.	2600-000	N/A	946.47	946.47	946.47
Other - Rabobank, N.A.	2600-000	N/A	888.86	888.86	888.86
Other - Rabobank, N.A.	2600-000	N/A	985.08	985.08	985.08
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	233.98	233.98	233.98

Other - Rabobank, N.A.	2600-000	N/A	788.31	788.31	788.31
Other - Rabobank, N.A.	2600-000	N/A	927.59	927.59	927.59
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	534.10	534.10	534.10
Other - Rabobank, N.A.	2600-000	N/A	869.87	869.87	869.87
Other - Rabobank, N.A.	2600-000	N/A	731.14	731.14	731.14
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	142.42	142.42	142.42
Other - Rabobank, N.A.	2600-000	N/A	738.41	738.41	738.41
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	246.90	246.90	246.90
Other - Rabobank, N.A.	2600-000	N/A	777.06	777.06	777.06
Other - DEPARTMENT OF LABOR	2990-000	N/A	750.00	750.00	750.00
Other - DEPARTMENT OF LABOR	2990-000	N/A	750.00	750.00	750.00
Other - SHAW FISHMAN GLANTZ & TOWBIN LLC	3721-000	N/A	4,000.00	4,000.00	4,000.00
Other - Rabobank, N.A.	2600-000	N/A	728.88	728.88	728.88
Other - GREGORY MESSER, TRUSTEE	2300-000	N/A	131.06	131.06	131.06
Other - Rabobank, N.A.	2600-000	N/A	712.69	712.69	712.69
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	292.85	292.85	292.85
Other - Rabobank, N.A.	2600-000	N/A	807.17	807.17	807.17
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	97.11	97.11	97.11
Other - ERIC J. HABER, ESQ.	2990-000	N/A	6,062.72	6,062.72	6,062.72
Other - SHAW FISHMAN GLANTZ & TOWBIN LLC	3721-000	N/A	4,000.00	4,000.00	4,000.00
Other - Rabobank, N.A.	2600-000	N/A	704.56	704.56	704.56
Other - SOUTH SHORE RECORDS MANAGEMENT	2410-000	N/A	97.11	97.11	97.11
Other - Rabobank, N.A.	2600-000	N/A	916.51	916.51	916.51
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	97.11	97.11	97.11
Other - Rabobank, N.A.	2600-000	N/A	1,285.92	1,285.92	1,285.92
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	97.11	97.11	97.11
Other - Rabobank, N.A.	2600-000	N/A	1,374.80	1,374.80	1,374.80
Other - Rabobank, N.A.	2600-000	N/A	1,855.64	1,855.64	1,855.64
Other - SOUTH SHORE RECORD MANAGEMENT	2410-000	N/A	97.11	97.11	97.11
Other - SHAW FISHMAN GLANTZ & TOWBIN, LLC	3721-000	N/A	4,000.00	4,000.00	4,000.00
Other - Rabobank, N.A.	2600-000	N/A	1,401.09	1,401.09	1,401.09
Other - Rabobank, N.A.	2600-000	N/A	636.33	636.33	636.33
Other - Rabobank, N.A.	2600-000	N/A	704.11	704.11	704.11
Other - SHAW FISHMAN GLANTZ & TOWBIN LLC	3992-000	N/A	973.05	973.05	973.05

Other - Rabobank, N.A.	2600-000	N/A	654.89	654.89	654.89
Other - Rabobank, N.A.	2600-000	N/A	661.70	661.70	661.70
Other - Rabobank, N.A.	2600-000	N/A	747.78	747.78	747.78
Other - GREGORY MESSER, TRUSTEE	2300-000	N/A	243.00	243.00	243.00
Other - Rabobank, N.A.	2600-000	N/A	738.28	738.28	738.28
Other - Rabobank, N.A.	2600-000	N/A	704.53	704.53	704.53
Other - Rabobank, N.A.	2600-000	N/A	770.75	770.75	770.75
Other - Rabobank, N.A.	2600-000	N/A	613.38	613.38	613.38
Other - Rabobank, N.A.	2600-000	N/A	584.13	584.13	584.13
Other - Rabobank, N.A.	2600-000	N/A	679.17	679.17	679.17
Other - Rabobank, N.A.	2600-000	N/A	611.87	611.87	611.87
Other - Rabobank, N.A.	2600-000	N/A	699.02	699.02	699.02
Other - Rabobank, N.A.	2600-000	N/A	868.79	868.79	868.79
Other - Rabobank, N.A.	2600-000	N/A	781.72	781.72	781.72
Other - Rabobank, N.A.	2600-000	N/A	764.83	764.83	764.83
Other - Rabobank, N.A.	2600-000	N/A	337.53	337.53	337.53
Other - Rabobank, N.A.	2600-000	N/A	321.89	321.89	321.89
Other - GREGORY MESSER, TRUSTEE	2300-000	N/A	60.08	60.08	60.08
Other - Rabobank, N.A.	2600-000	N/A	445.46	445.46	445.46
Other - Rabobank, N.A.	2600-000	N/A	437.37	437.37	437.37
Other - DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	2690-720	N/A	1,492.71	1,492.71	1,492.71
Other - DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	2690-720	N/A	4,878.15	4,878.15	4,878.15
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		N/A	\$2,287,551.79	\$2,036,389.14	\$2,022,591.87

**EXHIBIT 5 –PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
<b>TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES</b>		N/A	\$0.00	\$0.00	\$0.00

**EXHIBIT 6 –PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
6	MICHELLE M. KERR	5300-000	N/A	3,416.67	2,166.67	2,166.67
11P	SPENCER HAN NAM	5300-000	N/A	11,725.00	11,725.00	11,725.00
12P	GEORGE HENRY BILLINGTON	5300-000	N/A	11,725.00	0.00	0.00
19	WASHINGTON EXECUTIVE SERVICES, INC.	5200-000	N/A	3,511.15	0.00	0.00
20P	FREDERIC L. SAALWACHTER	5300-000	N/A	11,725.00	0.00	0.00
21P	JEANANN V. HARRIS	5300-000	N/A	8,870.00	8,870.00	8,870.00
22P	THOMAS JOHN KERESZTES	5300-000	N/A	11,725.00	11,725.00	11,725.00
27P	Stacy R. Kincaid	5300-000	N/A	11,725.00	0.00	0.00
28P	ROBERT SEAN URQUHART	5300-000	N/A	11,725.00	0.00	0.00
33P	LA-LAKEWAY, L.L.C.	5200-000	N/A	22,241.82	0.00	0.00
37P	LELAND GERSHELL	5300-000	N/A	11,725.00	11,725.00	11,725.00
39P	VINCENT MICHAEL FITZGERALD	5300-000	N/A	11,725.00	11,725.00	11,725.00
41P	JARON SIMON LUKASIEWICZ	5300-000	N/A	11,725.00	0.00	0.00
42P	PAUL T. WESTERVELT, JR.	5300-000	N/A	11,725.00	11,725.00	11,725.00
43P	TYLER KERRIGAN	5300-000	N/A	11,725.00	11,725.00	11,725.00
44P	PAUL T. WESTERVELT, III	5300-000	N/A	11,666.67	11,666.67	11,666.67
45P	JARON SIMON LUKASIEWICZ	5300-000	N/A	11,725.00	0.00	0.00
46P	FABRICE KLEIN	5300-000	N/A	11,725.00	0.00	0.00
48	NEW YORK STATE DEPARTMENT OF LABOR	5800-000	N/A	764.04	764.04	764.04
ERU_FICA	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	5800-000	N/A	N/A	39,373.79	39,373.79
ERU_FUTA	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	5800-000	N/A	N/A	1,456.11	1,456.11
ERU_SUTA	NYS EMPLOYMENT TAXES	5800-000	N/A	N/A	7,890.66	7,890.66
PER_FICA	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	5800-000	N/A	N/A	7,118.58	7,118.58
PER_FUTA	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	5800-000	N/A	N/A	412.00	412.00
PER_SUTA	NYS EMPLOYMENT TAXES	5800-000	N/A	N/A	3,004.61	3,004.61
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$202,895.35</b>	<b>\$153,073.13</b>	<b>\$153,073.13</b>

**EXHIBIT 7 –GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	NEW YORK STATE DEPARTMENT OF LABOR	7100-000	N/A	N/A	0.00	0.00
2	Haynes and Boone, LLP	7100-000	N/A	5,924.00	5,924.00	4,443.12
3	FedEx Tech Connect Inc as Assignee	7100-000	N/A	1,857.22	0.00	0.00
3 -2	FedEx Tech Connect Inc as Assignee	7100-000	N/A	2,148.84	2,148.84	1,611.66
4	AVANT BUSINESS SERVICES CORP.	7100-000	N/A	43.52	43.52	32.64
5	QUALITY BUILDING SERVICES CORP.	7100-000	N/A	9,677.46	9,677.46	7,258.30
6U	MICHELLE M. KERR	7100-000	N/A	1,250.00	1,250.00	917.11
7	OMGEO LLC	7100-000	N/A	2,569.98	2,569.98	1,927.53
8	SS & C TECHNOLOGIES INC.	7100-000	N/A	2,939.64	2,939.64	2,204.78
9	American Express Travel Related Services Co Inc	7100-000	N/A	33,974.46	33,974.46	25,481.53
10	BLANK ROME LLP	7100-000	N/A	76,537.00	76,537.00	57,404.26
11U	SPENCER HAN NAM	7100-000	N/A	38,508.20	38,508.20	28,253.04
12U	GEORGE HENRY BILLINGTON	7100-000	N/A	20,875.00	20,875.00	15,315.76
13	HOUSTON CITY TEMPORARIES, INC.	7100-000	N/A	1,156.00	1,156.00	867.02
14	SUNGARD INSTITUTIONAL BROKERAGE INC.	7100-000	N/A	16,760.00	16,760.00	10,835.50
15	EISNERAMPER LLP	7100-000	N/A	202,606.30	202,606.30	151,958.75
16	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	7100-000	N/A	20,600.00	20,600.00	14,420.00
17	INTERIOR FOLIAGE DESIGN INC	7100-000	N/A	1,486.15	1,486.15	1,114.66
18	LAURENCE G. JONES ARCHITECTS, PLLC	7100-000	N/A	2,752.80	2,752.80	2,064.65
20U	FREDERIC L. SAALWACHTER	7100-000	N/A	376,860.00	376,860.00	188,430.00
21U	JEANANN V. HARRIS	7100-000	N/A	1,500.00	1,500.00	1,100.56
22U	THOMAS JOHN KERESZTES	7100-000	N/A	10,150.00	10,150.00	3,045.00
23	MICHAEL DARREN BODINO	7100-000	N/A	63,888.88	63,888.88	47,917.94
24	OPTIONS PRICE REPORTING AUTHORITY, LLC (OPRA)	7100-000	N/A	1,058.95	1,058.95	794.25
25	BUCHAN CONSULTING PTY LTD.	7100-000	N/A	13,224.83	13,224.83	9,918.88
27	Stacy R. Kincaid	7100-000	N/A	404,143.00	319,060.00	254,300.14
28U	ROBERT SEAN URQUHART	7100-000	N/A	38,750.00	38,750.00	28,430.44
29	SANNON-STAMM ASSOCIATES, INC.	7100-000	N/A	2,238.55	2,238.55	1,678.97
30	FAP USA, L.P.	7100-000	N/A	N/A	0.00	0.00
31	D'MELLO + FELMUS DESIGN, INC.	7100-000	N/A	15,560.00	15,560.00	11,670.31

32	DAY PITNEY LLP	7100-000	N/A	188,030.54	61,368.85	46,027.87
33U	LA-LAKEWAY, L.L.C.	7100-000	N/A	92,318.81	0.00	0.00
34	MILBANK, TWEED, HADLEY & MCCLOY LLP	7100-000	N/A	20,679.10	20,679.10	15,509.74
35	ANDREW SHERMAN	7100-000	N/A	62,500.00	62,500.00	45,855.56
36P	ANSEL ROSS ESHELMAN	7100-000	N/A	60,000.00	0.00	0.00
36U	ANSEL ROSS ESHELMAN	7100-000	N/A	115,000.00	115,000.00	84,374.17
37U	LELAND GERSHELL	7100-000	N/A	8,275.00	8,275.00	6,386.29
38	FAP USA, L.P.	7100-000	N/A	N/A	0.00	0.00
39U	VINCENT MICHAEL FITZGERALD	7100-000	N/A	80,937.00	80,937.00	59,382.56
40	JAMES MCCARVILL	7100-000	N/A	14,774.40	14,774.40	11,081.09
41U	JARON SIMON LUKASIEWICZ	7100-000	N/A	3,275.00	0.00	0.00
42U	PAUL T. WESTERVELT, JR.	7100-000	N/A	46,608.33	46,608.33	23,304.17
43U	TYLER KERRIGAN	7100-000	N/A	14,941.67	14,941.67	7,470.83
45U	JARON SIMON LUKASIEWICZ	7200-000	N/A	3,275.00	0.00	0.00
46U	FABRICE KLEIN	7200-000	N/A	42,525.00	42,525.00	0.00
47	STAPLES, INC.	7200-000	N/A	3,071.61	3,071.61	0.00
49	JARON SIMON LUKASIEWICZ	7100-000	N/A	22,500.00	22,500.00	15,749.95
50	FRANCHISE TAX BOARD	7200-000	N/A	3,877.82	0.00	0.00
	CLERK, US BANKRUPTCY COURT	7100-000	N/A	2,622.23	2,622.23	2,622.23
<b>TOTAL GENERAL UNSECURED CLAIMS</b>				<b>\$0.00</b>	<b>\$2,154,252.29</b>	<b>\$1,777,903.75</b>
						<b>\$1,191,161.26</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 11-15896-MG

**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Period Ending:** 10/20/17

**Trustee:** (520009) GREGORY M. MESSER, TRUSTEE

**Filed (f) or Converted (c):** 12/29/11 (f)

**§341(a) Meeting Date:** 02/03/12

**Claims Bar Date:** 04/24/12

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=§554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1 JP Morgan Chase Bank Account No. *****2171 (Gene	69,060.03	69,060.03		69,060.03	FA
2 JP Morgan Chase Bank Account No. *****4812 (Payr	Unknown	19,258.98		19,258.98	FA
3 Security Deposit for Metaire Lease with LA-Lakew	10,218.25	0.00		0.00	FA
4 Security Deposit for Washington Lease with Washi	2,000.00	0.00		0.00	FA
5 Security Deposit for Lease with Regus Management	5,320.00	0.00		0.00	FA
6 Security Deposit for Lease with Regus Management	3,150.00	0.00		0.00	FA
7 Security Deposit for Lease with Regus Management	7,680.00	0.00		0.00	FA
8 Security Deposit for Texas PSL License	6,500.00	0.00		0.00	FA
9 SEZA/Kentwood, CA Deposit	900.00	0.00		0.00	FA
10 Additional Security Deposit for Lease with Regus	6,296.00	0.00		0.00	FA
11 Federal Insurance Co. 1311 Mamaroneck Avenue Whi	Unknown	0.00		0.00	FA
12 CIGNA Group Insurance- Accident Benefits Guardia	Unknown	0.00		0.00	FA
13 Miscellaneous Securities; held in Pershing LLC A	Unknown	0.00		0.00	FA
14 Accounts Receivable - Addendum 16	134,619.00	134,619.00		0.00	FA
15 Notes Receivable: Steven Souhrada 37 Concourse W	225,000.00	225,000.00		0.00	FA
16 Notes Receivable: Timonthy Schwarz 423 Wahackme	50,000.00	50,000.00		0.00	FA
17 Turnover of leasehold , furniture and equipment. Various Furniture and Fixtures	50,000.00	50,000.00		50,000.00	FA
18 Refund of Insurance premium (u)	4,082.73	4,082.73		4,082.73	FA
19 AGREEMENT FOR REPAYMENT (u)	335,977.00	335,977.00		335,977.00	FA
20 POLICY REFUND (u)	13,743.00	13,743.00		13,743.00	FA
21 TURN OVER OF FUNDS (u)	225,000.00	225,000.00		225,009.91	FA
22 REFUND OF UNUSED RETAINER (u)	16,558.33	16,558.33		16,558.33	FA
23 FAP, USA, LP ADVERSARY PROCEEDING SETTLEMENT (u)	500,000.00	698,000.00		698,000.00	FA
24 REFUND OF WARRANT FEES (u)	328.25	328.25		328.25	FA
25 TURNOVER OF TRUST ACCOUNT BALANCE (u)	455.00	455.00		455.00	FA
26 TURN OVER OF BROKERAGE ACCOUNT (u)	257,215.78	257,215.78		257,215.78	FA

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 11-15896-MG

**Trustee:** (520009) GREGORY M. MESSER, TRUSTEE

**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Filed (f) or Converted (c):** 12/29/11 (f)

**§341(a) Meeting Date:** 02/03/12

**Period Ending:** 10/20/17

**Claims Bar Date:** 04/24/12

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
27 Office Equipment, Furnishings and Supplies	Unknown	0.00		0.00	FA
28 BORDER TO BORDERTURN OVER OF ACCOUNTS RECEIVABLE (u)	729.41	729.41		729.41	FA
29 ELAND OIL AND GAS SETTLEMENT (u)	16,039.19	16,039.19		16,039.19	FA
30 NEWTEH DRILLING PRODUCTS (u)	335,416.13	335,416.13		335,416.13	FA
31 VGR, LLC f/k/a GEOPHYSICAL RESEARCH CO, LLC STIP (u)	400,000.00	400,000.00		400,000.00	FA
32 PINNACLE SUMMER INVESTMENTS, INC f/k/a EDELMAN F (u)	60,000.00	60,000.00		60,000.00	FA
33 SETTLEMENT OF CLAIMS AGAINST BENNETT ASSOCIATES (u)	75,000.00	75,000.00		75,000.00	FA
34 LINC ENERGY OPERATIONS INC. ADV. PROC. (u)	29,000.00	29,000.00		29,000.00	FA
35 LAND AND LAKES SETTLEMENT (u)	75,000.00	75,000.00		75,000.00	FA
36 STEVEN SOUHRADA SETTLEMENT (u)	15,000.00	15,000.00		15,000.00	FA
37 SETTLEMENT COWEN, STEPHEN NASH, WEEKES & ASIT SE (u)	245,000.00	245,000.00		245,000.00	FA
38 FLETCHER INTERNATIONAL LTD BANKRUPTCY (u)	935.51	935.51		2,687.09	FA
39 TXON ADVERSARY PROCEEDING. (u)	500,000.00	214,000.00		214,000.00	FA
40 941 REFUND (u)	34.72	34.72		6.07	FA
41 RECOVERY OF UNCLAIMED PROPERTY (u)	16,270.93	16,270.93		16,270.93	FA
42 *VOID (u)	0.00	0.00		0.00	FA
43 BRUCE CUMMINGS / ELBEN LLC (u)	87,500.00	87,500.00		87,500.00	FA
44 MADISON WILLIAMS HOLDING, LLC LITIGATION (u)	107,500.00	107,500.00		30,000.00	FA
45 UNITAH PARTIES (u)	75,000.00	75,000.00		75,000.00	FA
46 NYS UNEMPLOYMENT INSURANCE (u)	488.08	488.08		488.08	FA
Int INTEREST (u)	Unknown	N/A		0.35	FA
47 <b>Assets Totals</b> (Excluding unknown values)	<b>\$3,963,017.34</b>	<b>\$3,852,212.07</b>		<b>\$3,366,826.26</b>	<b>\$0.00</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 11-15896-MG **Trustee:** (520009) GREGORY M. MESSER, TRUSTEE  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC **Filed (f) or Converted (c):** 12/29/11 (f)  
**Period Ending:** 10/20/17 **§341(a) Meeting Date:** 02/03/12  
**Claims Bar Date:** 04/24/12

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property <u>Abandoned</u> OA=§554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					

#### Major Activities Affecting Case Closing:

The sum of 69,060.03 has been turned over to the Trustee from the bank accounts held by the debtor. We have worked out a settlement with the landlord for the sale of the fixtures at the premises. The accountant is presently reviewing books and records to determine what other actions may be pursued in this case.

1/24/2013 - We have recently settled the commission claim that is due the estate with First Avenue Partners. There is a remaining claim against Stacy Kincaid which we hope to settle within the next 30 days. There has been a tentative settlement with Kincaid on the sharing arrangement of these settlements.

We are still investigating other potential actions for commissions due the estate and claims against third parties for such matters as breach of fiduciary obligation, diversion of estate funds, preference actions and fraudulent conveyance. We have submitted a number of Rule 2004 applications and we will be conducting those examinations over the next 6 months.

7/15/2013- Interim distribution made to creditors and professionals. pursuant to order of the Court.

12/10/13 - Checks were issued to taxing authorities in the distribution that have not cleared. I have spoken to my accountants and they are checking to determine there is no misunderstanding before issuing stop payments on them.

1/15/2014 - We have commenced 3 adversary proceedings and have 3 tolling agreements on a additional 11 adversary proceedings. There is still a significant amount of litigation to be done.

3/19/14 - There are a number of adversary proceeding pending and we are having our accountants investigate whether any additional adversary proceeding should be commenced.

7/11/14 - We are in the process of settling various adversary proceedings and bringing on claims objections.

10/16/14 - We have resolved virtually all of the adversary proceedings and are focusing on the TXON adversary proceeding.

1/20/15 - There is a mediation scheduled for 1/27/15 in the TXON adversary proceeding. We are investigating a potential claim based on the accounts receivable. An interim distribution was done to creditors on January 5, 2015.

4/6/15 - We are mediating the TXON adversary proceeding which is the primary outstanding action.

4/1/16 - It has been brought to our attention that there may be an additional adversary proceeding that can be brought. We are having the accountants review the information.

8/26 /16 - We are still receiving payments under the TXON adversary proceeding. Upon receipt of the final payment the final report will be filed.

9/15/15 - Fourth interim distribution to creditors was done.

**Form 1**  
**Individual Estate Property Record and Report**  
**Asset Cases**

**Case Number:** 11-15896-MG **Trustee:** (520009) GREGORY M. MESSER, TRUSTEE  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC **Filed (f) or Converted (c):** 12/29/11 (f)  
**Period Ending:** 10/20/17 **§341(a) Meeting Date:** 02/03/12  
**Claims Bar Date:** 04/24/12

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property <u>Abandoned</u> OA=\$554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets

1/14/16 - The TXON Adversary proceeding has been settled. The Defendant is making payments over time and will continue to do so until for an additional 9 months. We anticipate that we will do an interim fee application in February 2016.

12/19/16 -Trustee reviewing claims and preparing fee applications for final report.

1/27/17- Final report filed with the US Trustee's Office.

**Initial Projected Date Of Final Report (TFR):** April 1, 2013

**Current Projected Date Of Final Report (TFR):** January 2, 2017 (Actual)

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*91-65 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/12/12	{1}	MADISON WILLIAMS AND COMPANY, LLC	TURN OVER OF BANK ACCOUNT	1129-000	69,060.03		69,060.03
01/27/12	{17}	SANDER MORRIS HARRIS INC.	DOWN PAYMENT ON SALE OF ASSETS	1129-000	50,000.00		119,060.03
01/31/12	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.35		119,060.38
01/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		84.90	118,975.48
02/09/12	{18}	GUARDIAN LIFE INSURANCE COMPANY OF AMERICA	REFUND OF INSURANCE PREMIUM	1290-000	4,082.73		123,058.21
02/09/12	{19}	FAP USA LP	REPAYMENT AMOUNT PER AGREEMENT	1290-000	335,977.00		459,035.21
02/14/12	1001	ADP, INC.	PREPARATION OF W-2 FORMS	2690-000		1,434.96	457,600.25
02/29/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		602.73	456,997.52
03/20/12	{20}	CIGNA	POLICY REFUND	1290-000	13,743.00		470,740.52
03/29/12	{21}	TRINITY RIVER RESOURCES, LP	TURN OVER OF FUNDS	1290-000	225,000.00		695,740.52
03/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		944.91	694,795.61
04/04/12	1002	SOUTH SHORE RECORD MANAGEMENT	INVOICE FOR MOVING BOXES 1/30/12	2410-000		4,106.76	690,688.85
04/19/12	{22}	SCHRADER & SCHOENBERG, LLP	REFUND OF UNUSED PORTION OF RETAINER	1290-000	16,558.33		707,247.18
04/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,375.49	705,871.69
05/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,591.28	704,280.41
06/27/12	1003	GREGORY MESSER, TRUSTEE	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/19/2012 FOR CASE #11-15896, Southern District Blank Bond # 016030120	2300-000		380.18	703,900.23
06/29/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,395.08	702,505.15
07/02/12	{2}	MADISON WILLIAMS AND COMPANY LLC	TURN OVER OF ACCOUNT	1129-000	19,258.98		721,764.13
07/18/12	1004	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS INVOICE 0037862	2410-000		1,360.41	720,403.72
07/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,563.91	718,839.81
08/07/12	1005	LORI LAPIN JONES, ESQ.	PURSUANT TO ORDER OF APPOINTMENT DATED 8/2/12	3210-600		7,500.00	711,339.81
08/21/12	1006	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. 0038144 Stopped on 01/07/13	2410-000		167.61	711,172.20
08/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,510.86	709,661.34
09/28/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,357.59	708,303.75
10/17/12	1007	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. NO. 38144,38425,38711	2410-000		363.79	707,939.96

Subtotals :                      \$733,680.42                      \$25,740.46

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** The Bank of New York Mellon

**Account:** \*\*\*\*\_\*\*\*\*\*91-65 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,596.78	706,343.18
11/12/12	{23}	FAP USA, LP	SETTLEMENT PAYMENT PURSUANT TO STIP DATED 10/15/2012	1241-000	500,000.00		1,206,343.18
11/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,994.21	1,204,348.97
12/13/12		RABOBANK MIGRATION TRANSFER OUT	TRANSFER TO 0001052000988 20121213	9999-000		1,204,348.97	0.00
01/07/13	1006	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. 0038144 Stopped: check issued on 08/21/12	2410-000		-167.61	167.61
01/08/13		RABOBANK MIGRATION TRANSFER OUT	TRANSFER TO 0001052000988 20130108	9999-000		167.61	0.00

<b>ACCOUNT TOTALS</b>	<b>1,233,680.42</b>	<b>1,233,680.42</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	1,204,516.58	
<b>Subtotal</b>	<b>1,233,680.42</b>	<b>29,163.84</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$1,233,680.42</b>	<b>\$29,163.84</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/14/12		RABOBANK MIGRATION TRANSFER IN	RABOBANK MIGRATION	9999-000	1,204,348.97		1,204,348.97
12/31/12		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,612.61	1,202,736.36
01/07/13	{24}	TEXAS COMPTROLLER OF PUBLIC ACCOUNTS	REFUND OF WARRANT	1290-000	328.25		1,203,064.61
01/07/13	{25}	RETTMANN, TOLCHIN & MAJORS	TURNOVER OF TRUST ACCOUNT (HAMILTON THEATRICAL MGMT)	1290-000	455.00		1,203,519.61
01/09/13		RABOBANK MIGRATION TRANSFER IN	RABOBANK MIGRATION	9999-000	167.61		1,203,687.22
01/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,903.72	1,201,783.50
02/12/13	11008	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS	2410-000		195.31	1,201,588.19
02/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,613.27	1,199,974.92
03/08/13	11009	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS (Inv. 39836,38996 39450	2410-000		289.71	1,199,685.21
03/20/13	{26}	PERSHING	TURN OVER OF BROKERAGE ACCOUNT	1290-000	257,215.78		1,456,900.99
03/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,766.99	1,455,134.00
04/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,232.53	1,452,901.47
05/02/13	{23}	FAP USA LP	PAYMENT PURSUANT TO STIPULATION OF SETTLEMENT	1241-000	198,000.00		1,650,901.47
05/10/13	11010	LAW OFFICES OF AVRUM ROSEN, PLLC	PAYMENT PURSUANT TO STIPULATION dated 4/8/13 Claim #27	7100-000		60,000.00	1,590,901.47
05/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,379.22	1,588,522.25
06/19/13	11011	GREGORY MESSER, TRUSTEE	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/19/2013 FOR CASE #11-15896, Bond # 016030120	2300-000		581.75	1,587,940.50
06/20/13	11012	GREGORY M. MESSER, TRUSTEE	INTERIM AWARD FEES AND EXPENSES			50,533.35	1,537,407.15
			First Interim Distribution 50,000.00	2100-000			1,537,407.15
		GREGORY M. MESSER	interim expenses 533.35	2200-000			1,537,407.15
06/20/13	11013	LAMONICA HERBST & MANISCALCO, LLP	INTERIM AWARD FEES AND EXPENSES			188,293.45	1,349,113.70
		LAMONICA HERBST & MANISCALCO, LLP	Attorney for Trustee 184,682.25 interim fee award	3210-000			1,349,113.70
		LAMONICA HERBST & MANISCALCO, LLP	Attorney for Trustee 3,611.20 interim expenses	3220-000			1,349,113.70
06/20/13	11014	DAVIS, GRABER, PLOTZKER & WARD, LLP	INTERIM AWARD FEES AND EXPENSES			172,975.50	1,176,138.20
		DAVIS, GRABER, PLOTZKER &	Accountant first interim 172,300.50	3410-000			1,176,138.20

Subtotals : \$1,660,515.61 \$484,377.41

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		WARD, LLP	fee award				
		DAVIS, GRABER, PLOTZKER & WARD, LLP	Accountant First Interim expense award	675.00 3420-000			1,176,138.20
06/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,097.03	1,174,041.17
07/02/13	11015	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS ACCT NO. 10411 INV. 40116,40396,406	2410-000		314.71	1,173,726.46
07/15/13		To Account #*****1666	Vincent Michael Fitzgerald - Escrow of distribution	9999-000		39,157.44	1,134,569.02
07/15/13	11016	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FICA TAX ON WAGE CLAIMS Stopped on 01/30/14	5300-000		6,221.61	1,128,347.41
07/15/13	11017	MICHELLE M. KERR	First Interim Distribution 30% pursuant to order dated June 20, 2013	5300-000		2,000.92	1,126,346.49
07/15/13	11018	SPENCER HAN NAM	First Interim Distribution 30% pursuant to order dated June 20, 2013	5300-000		10,828.04	1,115,518.45
07/15/13	11019	JEANANN V. HARRIS	First Interim Distribution 30% pursuant order dated June 20, 2013	5300-000		8,191.44	1,107,327.01
07/15/13	11020	THOMAS JOHN KERESZTES	First Interim Distribution 30% pursuant order dated June 20, 2013	5300-000		10,828.04	1,096,498.97
07/15/13	11021	LELAND JAMES GERSHELL	First Interim Distribution 30% pursuant dated June 20, 2013	5300-000		10,828.04	1,085,670.93
07/15/13	11022	VINCENT MICHAEL FITZGERALD	First Interim Distribution 30% pursuant order dated June 20, 2013 Voided on 07/15/13	5300-000		10,828.04	1,074,842.89
07/15/13	11022	VINCENT MICHAEL FITZGERALD	First Interim Distribution 30% pursuant order dated June 20, 2013 Voided: check issued on 07/15/13	5300-000		-10,828.04	1,085,670.93
07/15/13	11023	PAUL T. WESTERVELT, JR.	First Interim Distribution 30% pursuant to order dated June 20, 2013	5300-000		10,828.04	1,074,842.89
07/15/13	11024	TYLER KERRIGAN	First Interim Distribution 30% pursuant order dated June 20, 2013	5300-000		10,828.04	1,064,014.85
07/15/13	11025	PAUL T. WESTERVELT, III	First Interim Distribution 30% pursuant order dated June 20, 2013	5300-000		10,774.17	1,053,240.68
07/15/13	11026	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes on wage claims Stopped on 01/30/14	7100-000		17,399.97	1,035,840.71
07/15/13	11027	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FICA Taxes on Wage Claims Stopped on 01/30/14	5300-000		6,221.61	1,029,619.10

Subtotals : \$0.00 \$146,519.10

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/15/13	11028	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FICA TAXES ON WAGE CLAIMS Stopped on 01/30/14	5800-000		17,399.97	1,012,219.13
07/15/13	11029	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FUTA ON WAGE CLAIMS Stopped on 01/30/14	5800-000		307.00	1,011,912.13
07/15/13	11030	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FUTA TAXES ON WAGE CLAIMS Stopped on 01/30/14	5800-000		251.07	1,011,661.06
07/15/13	11031	NYS EMPLOYMENT TAXES	NY SUTA TAXES ON WAGE CLAIMS Stopped on 01/30/14	5800-000		2,574.62	1,009,086.44
07/15/13	11032	NYS EMPLOYMENT TAXES	NY SUTA ON WAGE CLAIMS Stopped on 01/30/14	5800-000		1,934.86	1,007,151.58
07/15/13	11033	NEW YORK STATE DEPARTMENT OF LABOR	Distribution paid on \$764.04; Claim# 48; Filed: \$764.04	5800-000		764.04	1,006,387.54
07/15/13	11034	Haynes and Boone, LLP	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		1,777.20	1,004,610.34
07/15/13	11035	FedEx Tech Connect Inc as Assignee	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		644.65	1,003,965.69
07/15/13	11036	AVANT BUSINESS SERVICES CORP.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		13.06	1,003,952.63
07/15/13	11037	QUALITY BUILDING SERVICES CORP.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		2,903.24	1,001,049.39
07/15/13	11038	MICHELLE M. KERR	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		346.31	1,000,703.08
07/15/13	11039	OMGEO LLC	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		770.99	999,932.09
07/15/13	11040	SS & C TECHNOLOGIES INC.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		881.89	999,050.20
07/15/13	11041	American Express Travel Related Services Co Inc	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		10,192.34	988,857.86
07/15/13	11042	BLANK ROME LLP	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		22,961.10	965,896.76
07/15/13	11043	SPENCER HAN NAM	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		10,668.70	955,228.06
07/15/13	11044	GEORGE HENRY BILLINGTON	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		5,783.42	949,444.64
07/15/13	11045	HOUSTON CITY TEMPORARIES, INC.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		346.80	949,097.84

Subtotals : \$0.00 \$80,521.26

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/15/13	11046	SUNGARD INSTITUTIONAL BROKERAGE INC.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		4,167.50	944,930.34
07/15/13	11047	EISNERAMPER LLP	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		60,781.89	884,148.45
07/15/13	11048	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		6,180.00	877,968.45
07/15/13	11049	INTERIOR FOLIAGE DESIGN INC	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		445.85	877,522.60
07/15/13	11050	LAURENCE G. JONES ARCHITECTS, PLLC	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		825.84	876,696.76
07/15/13	11051	FREDERIC L. SAALWACHTER	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		104,409.06	772,287.70
07/15/13	11052	JEANANN V. HARRIS	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		415.58	771,872.12
07/15/13	11053	THOMAS JOHN KERESZTES	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		2,812.06	769,060.06
07/15/13	11054	MICHAEL DARREN BODINO	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		19,166.66	749,893.40
07/15/13	11055	OPTIONS PRICE REPORTING AUTHORITY, LLC (OPRA)	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		317.69	749,575.71
07/15/13	11056	BUCHAN CONSULTING PTY LTD.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		3,967.45	745,608.26
07/15/13	11057	Stacy R. Kincaid	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		77,718.00	667,890.26
07/15/13	11058	ROBERT SEAN URQUHART	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		10,735.69	657,154.57
07/15/13	11059	SANNON-STAMM ASSOCIATES, INC.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		671.57	656,483.00
07/15/13	11060	D'MELLO + FELMUS DESIGN, INC.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		4,668.00	651,815.00
07/15/13	11061	DAY PITNEY LLP	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		18,410.66	633,404.34
07/15/13	11062	MILBANK, TWEED, HADLEY & MCCLOY LLP	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		6,203.73	627,200.61
07/15/13	11063	ANDREW SHERMAN	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		17,315.63	609,884.98
07/15/13	11064	ANSEL ROSS ESHELMAN	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		31,860.75	578,024.23
07/15/13	11065	LELAND JAMES GERSHELL	FIRST INTERIM DISTRIBUTION 30%	7100-000		2,417.26	575,606.97

Subtotals : \$0.00 \$373,490.87

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			pursuant to order dated 6/20/13				
07/15/13	11066	JAMES MCCARVILL	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		4,432.32	571,174.65
07/15/13	11067	PAUL T. WESTERVELT, JR.	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		12,912.84	558,261.81
07/15/13	11068	TYLER KERRIGAN	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		4,139.59	554,122.22
07/15/13	11069	JARON SIMON LUKASIEWICZ	FIRST INTERIM DISTRIBUTION 30% pursuant to order dated 6/20/13	7100-000		6,233.63	547,888.59
07/19/13	11070	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. 40116,40396,40672	2410-000		339.71	547,548.88
07/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,740.15	545,808.73
08/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		946.47	544,862.26
09/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		888.86	543,973.40
10/15/13	11071	UNITED STATES TREASURY	941 2013 EIN# 26-4056225			47,243.16	496,730.24
			EE Fed TAx W/H 2nd Dist 62.50	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 1,925.41	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 1,043.75	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 18,843.00	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 75.00	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 4,046.85	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 1,937.50	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 3,125.00	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 5,750.00	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 436.25	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 2,330.42	7100-000			496,730.24
			EE Fed TAx W/H 2nd Dist 747.08	7100-000			496,730.24

Subtotals :

\$0.00

\$78,876.73

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			Dist				
			EE Fed TAx W/H 2nd 1,125.00 Dist	7100-000			496,730.24
			EE Fica 30% Distribution 896.96	5300-000			496,730.24
			EE Fica w/h tax 1st Dist 1,857.50 30%	7100-000			496,730.24
			EE Fica w/h tax 19.13	7100-000			496,730.24
			EE Fica w/h tax 22.95	7100-000			496,730.24
			EE Fica w/h tax 228.61	7100-000			496,730.24
			ER Priority - Fica w/h - 896.96 #39P	5800-000			496,730.24
			ER Unsec Fica W/H - 1,857.50 #39U	5800-000			496,730.24
			ER Unsec Fica - Claim 15.79 6U	5800-000			496,730.24
10/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		985.08	495,745.16
11/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		788.31	494,956.85
12/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		927.59	494,029.26
01/22/14	11072	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. NOS 42581,42474, 41761,	2410-000		534.10	493,495.16
01/30/14	11016	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FICA TAX ON WAGE CLAIMS Stopped: check issued on 07/15/13	5300-000		-6,221.61	499,716.77
01/30/14	11026	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes on wage claims Stopped: check issued on 07/15/13	7100-000		-17,399.97	517,116.74
01/30/14	11027	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FICA Taxes on Wage Claims Stopped: check issued on 07/15/13	5300-000		-6,221.61	523,338.35
01/30/14	11028	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FICA TAXES ON WAGE CLAIMS Stopped: check issued on 07/15/13	5800-000		-17,399.97	540,738.32
01/30/14	11029	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FUTA ON WAGE CLAIMS Stopped: check issued on 07/15/13	5800-000		-307.00	541,045.32
01/30/14	11030	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FUTA TAXES ON WAGE CLAIMS Stopped: check issued on 07/15/13	5800-000		-251.07	541,296.39

Subtotals : \$0.00 \$-44,566.15

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/30/14	11031	NYS EMPLOYMENT TAXES	NY SUTA TAXES ON WAGE CLAIMS Stopped: check issued on 07/15/13	5800-000		-2,574.62	543,871.01
01/30/14	11032	NYS EMPLOYMENT TAXES	NY SUTA ON WAGE CLAIMS Stopped: check issued on 07/15/13	5800-000		-1,934.86	545,805.87
01/30/14	11073	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	2013 FORM 941 EIN 26-4056225			47,243.16	498,562.71
			EEP Fica w/h 165.75	5300-000			498,562.71
			EEP Fica w/h 896.96	5300-000			498,562.71
			EEP Fica w/h 678.56	5300-000			498,562.71
			EEP Fica w/h 896.96	5300-000			498,562.71
			EEP Fica w/h 896.96	5300-000			498,562.71
			EEP Fica w/h 896.96	5300-000			498,562.71
			EEP Fica w/h 896.96	5300-000			498,562.71
			EEP Fica w/h 896.96	5300-000			498,562.71
			EEP Fica w/h 892.50	5300-000			498,562.71
			EEU Fica w/h 28.69	7100-000			498,562.71
			EEU Fica w/h 883.76	7100-000			498,562.71
			EEU Fica w/h 479.08	7100-000			498,562.71
			EEU Fica w/h 8,648.94	7100-000			498,562.71
			EEU Fica w/h 34.43	7100-000			498,562.71
			EEU Fica w/h 232.94	7100-000			498,562.71
			EEU Fica w/h 889.31	7100-000			498,562.71
			EEU Fica w/h 1,434.38	7100-000			498,562.71
			EEU Fica w/h 2,639.25	7100-000			498,562.71
			EEU Fica w/h 200.24	7100-000			498,562.71
			EEU Fica w/h 1,069.66	7100-000			498,562.71
			EEU Fica w/h 342.91	7100-000			498,562.71
			EEU Fica w/h 516.38	7100-000			498,562.71
			ER Priority - Fica w/h Claims# 6P, 11P, 21P, 22P, 37P,42P, 43P, 44P	5800-000			498,562.71
			ERU Fica w/h - Claim# 6U, 11U, 12U, 20U,21U,21U,22U,28U,3 5U,36U,37U,43U,43U,49 U	5800-000			498,562.71
01/30/14	11074	DEPARTMENT OF THE TREASURY - INTERNAL	2013 FORM 940 EIN 26-4056225			558.07	498,004.64

Subtotals : \$0.00 \$43,291.75

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** Rabobank, N.A.

**Account:** \*\*\*\*\*9166 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		REVENUE SERVICE					
			ER Unsec Futa w/h Claim #6U,12U,20U,28U,35U,3 6U,49U	251.07 5800-000			498,004.64
			PER Futa W/H - Claim# 6P,11P,21P,22P,37P,42 P,43P,44P	307.00 5800-000			498,004.64
01/30/14	11075	NYS EMPLOYMENT TAXES	2013 NYS-45 EIN 26-4056225			4,509.48	493,495.16
			Employer Unsec NY SUTA Distribution	1,934.90 5800-000			493,495.16
			Employer Priority - Suta Distribution	2,574.58 5800-000			493,495.16
01/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		869.87	492,625.29
02/18/14		To Account #*****1666	TRANSFER OF FUNDS	9999-000		233.98	492,391.31
02/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		731.14	491,660.17
03/26/14		To Account #*****1666	TRANSFER OF FUNDS	9999-000		142.42	491,517.75
03/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		738.41	490,779.34
04/01/14	11076	VINCENT MICHAEL FITZGERALD	Interim Distribution (Net Check)	5300-000		10,828.04	479,951.30
04/01/14	11077	VINCENT MICHAEL FITZGERALD	Interim distribution (Net Distribution)	7100-000		22,423.60	457,527.70
04/01/14	11078	IRS	FUTA 940 EIN# 26-4056225	5800-000		105.00	457,422.70
04/01/14	11079	NYS EMPLOYMENT TAXES	SUI-NYS45 26-4056225 for claim 39P	5800-000		430.03	456,992.67
04/08/14	11080	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV NO. 42854, 43400	2410-000		246.90	456,745.77
04/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		777.06	455,968.71
05/13/14	{29}	ELAND OIL AND GAS	Settlement payment	1249-000	16,039.19		472,007.90
05/28/14	11081	DEPARTMENT OF LABOR	FORM 5500 2011	2990-000		750.00	471,257.90
05/28/14	11082	DEPARTMENT OF LABOR	FORM 5500 2012	2990-000		750.00	470,507.90
05/29/14	11083	SHAW FISHMAN GLANTZ & TOWBIN LLC	PAYMENT TO MEDIATOR	3721-000		4,000.00	466,507.90
05/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		728.88	465,779.02
06/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		712.69	465,066.33
07/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		807.17	464,259.16
08/13/14	11084	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS	2410-000		97.11	464,162.05
08/13/14	11085	ERIC J. HABER, ESQ.	50% OF THE MEDIATION COSTS	2990-000		6,062.72	458,099.33
08/22/14	11086	SHAW FISHMAN GLANTZ & TOWBIN LLC	MEDIATOR'S RETAINER	3721-000		4,000.00	454,099.33

Subtotals : \$16,039.19 \$59,944.50

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** Rabobank, N.A.

**Account:** \*\*\*\*\*9166 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
08/25/14	11087	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	TAXES ON WAGE CLAIMS Voided on 09/05/14	5800-000		10,279.04	443,820.29
08/25/14	11088	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	TAXES ON WAGE CLAIMS Voided on 09/05/14	5800-000		10,279.04	433,541.25
08/25/14	11089	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FED TAXES ON WAGE CLAIMS Voided on 08/25/14	5800-000		41,447.76	392,093.49
08/25/14	11089	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	FED TAXES ON WAGE CLAIMS Voided: check issued on 08/25/14	5800-000		-41,447.76	433,541.25
08/25/14	11090	NYS EMPLOYMENT TAXES	SUI TAXES ON WAGE CLAIMS	5800-000		3,277.20	430,264.05
08/25/14	11091	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	EMPLOYER UNSEC FUTA TAXES ON WAGE CLAIMS - 20% Interim Dist.	5800-000		944.38	429,319.67
08/25/14	11092	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	MEDICARE ON WAGE CLAIMS Voided on 09/05/14	5800-000		2,403.97	426,915.70
08/25/14	11093	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	MEDICARE ON WAGE CLAIMS Voided on 09/05/14	5800-000		2,403.97	424,511.73
08/25/14	11094	Haynes and Boone, LLP	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		1,184.80	423,326.93
08/25/14	11095	FedEx Tech Connect Inc as Assignee	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		429.77	422,897.16
08/25/14	11096	AVANT BUSINESS SERVICES CORP.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		8.70	422,888.46
08/25/14	11097	QUALITY BUILDING SERVICES CORP.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		1,935.49	420,952.97
08/25/14	11098	MICHELLE M. KERR	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		168.38	420,784.59
08/25/14	11099	OMGEO LLC	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		514.00	420,270.59
08/25/14	11100	SS & C TECHNOLOGIES INC.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		587.93	419,682.66
08/25/14	11101	American Express Travel Related Services Co Inc	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		6,794.89	412,887.77
08/25/14	11102	BLANK ROME LLP	Second Interim Distribution 20% pursuant	7100-000		15,307.40	397,580.37

Subtotals : \$0.00 \$56,518.96

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			order dated June 20, 2013				
08/25/14	11103	SPENCER HAN NAM	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		5,187.05	392,393.32
08/25/14	11104	GEORGE HENRY BILLINGTON	Second Interim Distribution 20% pursuant order dated June 20, 2013 Stopped on 01/06/15	7100-000		2,811.86	389,581.46
08/25/14	11105	HOUSTON CITY TEMPORARIES, INC.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		231.20	389,350.26
08/25/14	11106	SUNGARD INSTITUTIONAL BROKERAGE INC.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		3,334.00	386,016.26
08/25/14	11107	EISNERAMPER LLP	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		40,521.26	345,495.00
08/25/14	11108	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		4,120.00	341,375.00
08/25/14	11109	INTERIOR FOLIAGE DESIGN INC	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		297.23	341,077.77
08/25/14	11110	LAURENCE G. JONES ARCHITECTS, PLLC	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		550.56	340,527.21
08/25/14	11111	FREDERIC L. SAALWACHTER	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		50,763.04	289,764.17
08/25/14	11112	JEANANN V. HARRIS	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		202.05	289,562.12
08/25/14	11113	MICHAEL DARREN BODINO	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		12,777.78	276,784.34
08/25/14	11114	OPTIONS PRICE REPORTING AUTHORITY, LLC (OPRA)	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		211.79	276,572.55
08/25/14	11115	BUCHAN CONSULTING PTY LTD.	Second Interim Distribution 20% pursuant order dated June 20, 2013 Stopped on 01/06/15	7100-000		2,644.97	273,927.58
08/25/14	11116	Stacy R. Kincaid	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		51,812.00	222,115.58
08/25/14	11117	ROBERT SEAN URQUHART	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		5,219.63	216,895.95
08/25/14	11118	SANNON-STAMM ASSOCIATES, INC.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		447.71	216,448.24
08/25/14	11119	D'MELLO + FELMUS DESIGN, INC.	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		3,112.00	213,336.24
08/25/14	11120	DAY PITNEY LLP	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		12,273.77	201,062.47

Subtotals : \$0.00 \$196,517.90

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** Rabobank, N.A.

**Account:** \*\*\*\*\*9166 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
08/25/14	11121	MILBANK, TWEED, HADLEY & MCCLOY LLP	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		4,135.82	196,926.65
08/25/14	11122	ANDREW SHERMAN	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		8,418.75	188,507.90
08/25/14	11123	ANSEL ROSS ESHELMAN	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		15,490.50	173,017.40
08/25/14	11124	LELAND JAMES GERSHELL	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		1,175.26	171,842.14
08/25/14	11125	VINCENT MICHAEL FITZGERALD	Second Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		10,902.21	160,939.93
08/25/14	11126	JAMES MCCARVILL	Third Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		2,954.88	157,985.05
08/25/14	11127	PAUL T. WESTERVELT, JR.	Third Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		6,278.14	151,706.91
08/25/14	11128	TYLER KERRIGAN	Third Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		2,012.64	149,694.27
08/25/14	11129	JARON SIMON LUKASIEWICZ	Third Interim Distribution 20% pursuant order dated June 20, 2013	7100-000		3,030.75	146,663.52
08/29/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		704.56	145,958.96
09/02/14		From Account #*****1666	TRANSFER OF FUNDS	9999-000	44,879.07		190,838.03
09/05/14	11087	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	TAXES ON WAGE CLAIMS Voided: check issued on 08/25/14	5800-000		-10,279.04	201,117.07
09/05/14	11088	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	TAXES ON WAGE CLAIMS Voided: check issued on 08/25/14	5800-000		-10,279.04	211,396.11
09/05/14	11092	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	MEDICARE ON WAGE CLAIMS Voided: check issued on 08/25/14	5800-000		-2,403.97	213,800.08
09/05/14	11093	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	MEDICARE ON WAGE CLAIMS Voided: check issued on 08/25/14	5800-000		-2,403.97	216,204.05
09/05/14	11130	DEPARTMENT OF TREASURY-INTERNAL REVENUE SERVICE	FICA 941 EIN# 26-4056225			25,079.56	191,124.49
			EE Fica Taxes W/h - 2nd Dist 133.49	7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist 319.39	7100-000			191,124.49

Subtotals : \$44,879.07 \$54,817.05

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction		5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			EE Fica Taxes W/h - 2nd Dist	344.25 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	589.18 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	592.88 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	713.11 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	956.25 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	1,238.34 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	1,759.50 7100-000			191,124.49
			EE Fica Taxes W/h - 2nd Dist	5,765.96 7100-000			191,124.49
				12,667.21 5800-000			191,124.49
09/08/14	{31}	VGR, LLC	SETTLEMENT PAYMENT PURSUANT TO STIPULATION 7/24/14 AND ORDER DATED 8/29/14	1249-000	400,000.00		591,124.49
09/10/14	{33}	ROYSTON RAYZOR VICKERY & WILLIAMS LLP	SETTLEMENT PAYMENT PURSUANT TO STIPULATION DATED 8/25/14	1249-000	75,000.00		666,124.49
09/12/14	11131	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. 0044924 ACCT # 10411	2410-000		97.11	666,027.38
09/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		916.51	665,110.87
10/13/14	{30}	NEWTECH DRILLING PRODUCTS	SETTLEMENT OF ADVERSARY PROCEEDING.	1249-000	330,000.00		995,110.87
10/24/14	{32}	SANDER NORRIS HARRIS INC.	SETTLEMENT OF CLAIMS AGAINST PINNACLE SUMMER INVESTMENTS, INC. PURSUANT TO STIPULATION DATED OCTOBER 7, 2014.	1249-000	60,000.00		1,055,110.87
10/28/14	11132	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV 0045187	2410-000		97.11	1,055,013.76
10/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,285.92	1,053,727.84
11/21/14	11133	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV 0045443 ACCT 10411	2410-000		97.11	1,053,630.73
11/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,374.80	1,052,255.93
12/03/14	{34}	LINC ENERGY OPERATIONS INC.	SETTLEMENT OF ADVERSARY PROCEEDING	1249-000	29,000.00		1,081,255.93

Subtotals : \$894,000.00 \$3,868.56

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/10/14	{35}	MB FINANCIAL BANK	LAND AND LAKES COMPANY SETTLEMENT	1249-000	75,000.00		1,156,255.93
12/10/14	{36}	BECKER GLYNN MUFFLY CHASSIN & HOSINSKI, LLP	SETTLEMENT WITH STEVEN SOUHRADA	1249-000	15,000.00		1,171,255.93
12/29/14	{37}	COWEN SECURITIES, LLC	SETTLEMENT OF ACTION PURSUANT TO ORDER DATED 12/4/14.	1249-000	245,000.00		1,416,255.93
12/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,855.64	1,414,400.29
01/02/15	{38}	FLETCHER INTERNATIONAL, LTD.	DISTRIBUTION FROM FLETCHER INT'L BANKRUPTCY	1290-000	935.51		1,415,335.80
01/05/15	11134	Haynes and Boone, LLP	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		592.40	1,414,743.40
01/05/15	11135	FedEx Tech Connect Inc as Assignee	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		214.88	1,414,528.52
01/05/15	11136	AVANT BUSINESS SERVICES CORP.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		4.35	1,414,524.17
01/05/15	11137	QUALITY BUILDING SERVICES CORP.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		967.75	1,413,556.42
01/05/15	11138	MICHELLE M. KERR	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		84.19	1,413,472.23
01/05/15	11139	OMGEO LLC	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		257.00	1,413,215.23
01/05/15	11140	SS & C TECHNOLOGIES INC.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		293.96	1,412,921.27
01/05/15	11141	American Express Travel Related Services Co Inc	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		3,397.45	1,409,523.82
01/05/15	11142	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes 3rd Interim Distribution			2,421.38	1,407,102.44
			EE Fica Taxes w/h - 3rd Dist (Bal Tax owed is in ck #11172)	240.25 7100-000			1,407,102.44
			EE Fica Taxes w/h - 3rd Dist	387.50 7100-000			1,407,102.44
			EE Fica Taxes w/h - 3rd Dist	713.00 7100-000			1,407,102.44
			EE Fica Taxes w/h - 3rd Dist	54.10 7100-000			1,407,102.44
			EE Fica Taxes w/h - 3rd Dist	501.81 7100-000			1,407,102.44
			EE Fica Taxes w/h - 3rd	139.50 7100-000			1,407,102.44

Subtotals : \$335,935.51 \$10,089.00

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			Dist				
			EE Fica Taxes w/h 9.30	7100-000			1,407,102.44
			EE Fica Taxes w/h 129.43	7100-000			1,407,102.44
			EE Fica Taxes w/h 238.75	7100-000			1,407,102.44
			EE Fica Taxes w/h 7.74	7100-000			1,407,102.44
01/05/15	11143	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes 3rd Interim Distribution	5800-000		2,421.38	1,404,681.06
01/05/15	11144	BLANK ROME LLP	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013 Stopped on 06/01/15	7100-000		7,653.70	1,397,027.36
01/05/15	11145	SPENCER HAN NAM	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,593.53	1,394,433.83
01/05/15	11146	GEORGE HENRY BILLINGTON	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,405.93	1,393,027.90
01/05/15	11147	HOUSTON CITY TEMPORARIES, INC.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		115.60	1,392,912.30
01/05/15	11148	SUNGARD INSTITUTIONAL BROKERAGE INC.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,667.00	1,391,245.30
01/05/15	11149	EISNERAMPER LLP	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		20,260.63	1,370,984.67
01/05/15	11150	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,060.00	1,368,924.67
01/05/15	11151	INTERIOR FOLIAGE DESIGN INC	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		148.62	1,368,776.05
01/05/15	11152	LAURENCE G. JONES ARCHITECTS, PLLC	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		275.28	1,368,500.77
01/05/15	11153	JEANANN V. HARRIS	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		101.03	1,368,399.74
01/05/15	11154	MICHAEL DARREN BODINO	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		6,388.89	1,362,010.85
01/05/15	11155	OPTIONS PRICE REPORTING AUTHORITY, LLC (OPRA)	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		105.90	1,361,904.95
01/05/15	11156	BUCHAN CONSULTING PTY LTD.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,322.48	1,360,582.47
01/05/15	11157	Stacy R. Kincaid	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		25,906.00	1,334,676.47
01/05/15	11158	ROBERT SEAN URQUHART	3rd Interim Distribution 10.00% pursuant order	7100-000		2,609.81	1,332,066.66

Subtotals :

\$0.00

\$75,035.78

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			dated June 20, 2013				
01/05/15	11159	SANNON-STAMM ASSOCIATES, INC.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		223.86	1,331,842.80
01/05/15	11160	D'MELLO + FELMUS DESIGN, INC.	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,556.00	1,330,286.80
01/05/15	11161	DAY PITNEY LLP	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		6,136.89	1,324,149.91
01/05/15	11162	MILBANK, TWEED, HADLEY & MCCLOY LLP	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,067.91	1,322,082.00
01/05/15	11163	ANDREW SHERMAN	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		4,209.38	1,317,872.62
01/05/15	11164	ANSEL ROSS ESHELMAN	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		7,745.25	1,310,127.37
01/05/15	11165	LELAND JAMES GERSHELL	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		587.63	1,309,539.74
01/05/15	11166	VINCENT MICHAEL FITZGERALD	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		5,451.11	1,304,088.63
01/05/15	11167	JAMES MCCARVILL	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,477.44	1,302,611.19
01/05/15	11168	JARON SIMON LUKASIEWICZ	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,515.38	1,301,095.81
01/05/15	11169	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes 3rd Interim Distribution			9,763.63	1,291,332.18
			EE Fed Taxes 3rd Dist 31.25	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 962.71	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 521.88	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 37.50	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 968.75	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 1,562.50	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 2,875.00	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 218.13	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 2,023.43	7100-000			1,291,332.18
			EE Fed Taxes 3rd Dist 562.48	7100-000			1,291,332.18
01/05/15	11170	NYS EMPLOYMENT TAXES	Taxes 3rd Interim Distribution	5800-000		1,552.04	1,289,780.14
01/05/15	11171	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Employer Unsec Taxes 3rd Interim Distribution	5800-000		602.29	1,289,177.85

Subtotals : \$0.00 \$42,888.81

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/05/15	11172	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes on 3rd Interim Distribution 10.00% pursuant order dated June 20, 2013			566.29	1,288,611.56
			EE Fica Taxes w/h - 3rd Dist 1.81	7100-000			1,288,611.56
			EE Fica Taxes w/h - 3rd Dist 55.84	7100-000			1,288,611.56
			EE Fica Taxes w/h - 3rd Dist 30.27	7100-000			1,288,611.56
			EE Fica Taxes w/h - 3rd Dist 2.18	7100-000			1,288,611.56
			EE Fica Taxes w/h - 3rd Dist (bal of Fica Amt of 205.47 is in ck No. 11142 56.19	7100-000			1,288,611.56
			EE Fica Taxes w/h - 3rd Dist 90.63	7100-000			1,288,611.56
			EE Fica Taxes w/h 166.75	7100-000			1,288,611.56
			EE Fica Taxes w/h 12.65	7100-000			1,288,611.56
			EE Fica Taxes w/h 117.36	7100-000			1,288,611.56
			EE Fica Taxes w/h 32.61	7100-000			1,288,611.56
01/05/15	11173	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Taxes on 3rd Interim Distribution	5800-000		566.29	1,288,045.27
01/06/15	11104	GEORGE HENRY BILLINGTON	Second Interim Distribution 20% pursuant order dated June 20, 2013 Stopped: check issued on 08/25/14	7100-000		-2,811.86	1,290,857.13
01/06/15	11115	BUCHAN CONSULTING PTY LTD.	Second Interim Distribution 20% pursuant order dated June 20, 2013 Stopped: check issued on 08/25/14	7100-000		-2,644.97	1,293,502.10
01/06/15	11174	BUCHAN CONSULTING PTY LTD.	2nd Interim Distribution 20% replacement check	7100-000		2,644.97	1,290,857.13
01/06/15	11175	GEORGE HENRY BILLINGTON	2nd Interim Distribution 20% replacement check.	7100-000		2,811.86	1,288,045.27
01/08/15	11176	GREGORY M. MESSER	SECOND INTERIM FEE AND EXPENSE AWARD PURSUANT TO ORDER DATED 1/8/15			44,675.62	1,243,369.65
			TRUSTEE FEES 43,000.00	2100-000			1,243,369.65
		GREGORY M. MESSER	TRUSTEE EXPENSES 1,675.62	2200-000			1,243,369.65

Subtotals : \$0.00 \$45,808.20

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/08/15	11177	LAMONICA HERBST & MANISCALCO, LLP	SECOND INTERIM FEE AND EXPENSE AWARD PURSUANT TO ORDER DATED 1/8/15			557,855.61	685,514.04
		LAMONICA HERBST & MANISCALCO, LLP	ATTORNEY FOR 520,000.00 TRUSTEE FEES	3210-000			685,514.04
		LAMONICA HERBST & MANISCALCO, LLP	ATTORNEY FOR 37,855.61 TRUSTEE EXPENSES	3220-000			685,514.04
01/08/15	11178	DAVIS, GRABER, PLOTZKER & WARD, LLP	SECOND INTERIM FEE AND EXPENSE AWARD PURSUANT TO ORDER DATED 1/8/15			235,299.48	450,214.56
		DAVIS, GRABER, PLOTZKER & WARD, LLP	ACCOUNTANT FOR 235,000.00 TRUSTEE FEES	3410-000			450,214.56
		DAVIS, GRABER, PLOTZKER & WARD, LLP	ACCOUNTANT FOR 299.48 TRUSTEE EXPENSES	3420-000			450,214.56
01/12/15	11179	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV.# 0045702	2410-000		97.11	450,117.45
01/20/15	11180	SHAW FISHMAN GLANTZ & TOWBIN, LLC	PAYMENT TO MEDIATOR IN TXON LITIGATION.	3721-000		4,000.00	446,117.45
01/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,401.09	444,716.36
02/06/15	{21}	SANDERS MORRIS HARRIS, INC.	TURN OVER OF ESCROW	1290-000	9.91		444,726.27
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		636.33	444,089.94
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		704.11	443,385.83
04/10/15		UNITED STATES TREASURY	941 REFUND - of Employer Unsec Pyment Fica	5800-000		-34.72	443,420.55
04/21/15	{41}	TEXAS COMPTROLLER OF PUBLIC ACCOUNTS	UNCLAIMED PROPERTY RECOVERY	1229-000	15,947.02		459,367.57
04/21/15	11181	SHAW FISHMAN GLANTZ &TOWBIN LLC	MEDIATION	3992-000		973.05	458,394.52
04/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		654.89	457,739.63
05/13/15	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #0; PAYMENT ON SETTLEMENT OF ADVERSARY PROCEEDING	1241-000	20,000.00		477,739.63
05/13/15	{41}	COMPTROLLER STATE OF NEW YORK	RETURN OF UNCLAIMED PROPERTY	1229-000	323.91		478,063.54
05/29/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		661.70	477,401.84
06/01/15	11144	BLANK ROME LLP	3rd Interim Distribution 10.00% pursuant order dated June 20, 2013 Stopped: check issued on 01/05/15	7100-000		-7,653.70	485,055.54

Subtotals : \$36,280.84 \$794,594.95

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
06/01/15	11182	BLANK ROME LLP	3rd Interim Distribution 10.00% pursuant to order dated 6/20/13	7100-000		7,653.70	477,401.84
06/10/15	{39}	TXON PARTNERS	Acct #5000629166; Payment #1; SETTLEMENT PAYMENT	1241-000	12,000.00		489,401.84
06/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		747.78	488,654.06
07/09/15	{39}	TXON PARTNERS LLC	Acct #5000629166; Payment #2; SETTLEMENT PAYMENT	1241-000	12,000.00		500,654.06
07/23/15	11183	GREGORY MESSER, TRUSTEE	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/30/2015 FOR CASE #11-15896, Bond # 016030120	2300-000		243.00	500,411.06
07/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		738.28	499,672.78
08/07/15	{39}	TXON PARTNERS LLC	Acct #5000629166; Payment #3; SETTLEMENT PAYMENT	1241-000	12,000.00		511,672.78
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		704.53	510,968.25
09/10/15	{39}	TXON PARTNERS LLC	Acct #5000629166; Payment #4; SETTLEMENT PAYMENT	1241-000	12,000.00		522,968.25
09/15/15	11184	Haynes and Boone, LLP	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		592.40	522,375.85
09/15/15	11185	FedEx Tech Connect Inc as Assignee	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		214.88	522,160.97
09/15/15	11186	AVANT BUSINESS SERVICES CORP.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		4.35	522,156.62
09/15/15	11187	QUALITY BUILDING SERVICES CORP.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		967.75	521,188.87
09/15/15	11188	MICHELLE M. KERR	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		84.19	521,104.68
09/15/15	11189	OMGEO LLC	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		257.00	520,847.68
09/15/15	11190	SS & C TECHNOLOGIES INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		293.96	520,553.72
09/15/15	11191	American Express Travel Related Services Co Inc	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		3,397.45	517,156.27
09/15/15	11192	BLANK ROME LLP	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		7,653.69	509,502.58
09/15/15	11193	SPENCER HAN NAM	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,593.53	506,909.05
09/15/15	11194	GEORGE HENRY BILLINGTON	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,405.93	505,503.12

Subtotals : \$48,000.00 \$27,552.42

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG

**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** Rabobank, N.A.

**Account:** \*\*\*\*\*9166 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
09/15/15	11195	HOUSTON CITY TEMPORARIES, INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		115.60	505,387.52
09/15/15	11196	SUNGARD INSTITUTIONAL BROKERAGE INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,667.00	503,720.52
09/15/15	11197	EISNERAMPER LLP	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		20,260.62	483,459.90
09/15/15	11198	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,060.00	481,399.90
09/15/15	11199	INTERIOR FOLIAGE DESIGN INC	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		148.62	481,251.28
09/15/15	11200	LAURENCE G. JONES ARCHITECTS, PLLC	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		275.28	480,976.00
09/15/15	11201	JEANANN V. HARRIS	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		101.03	480,874.97
09/15/15	11202	MICHAEL DARREN BODINO	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		6,388.89	474,486.08
09/15/15	11203	OPTIONS PRICE REPORTING AUTHORITY, LLC (OPRA)	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		105.90	474,380.18
09/15/15	11204	BUCHAN CONSULTING PTY LTD.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,322.48	473,057.70
09/15/15	11205	Stacy R. Kincaid	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		25,905.98	447,151.72
09/15/15	11206	ROBERT SEAN URQUHART	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,609.81	444,541.91
09/15/15	11207	SANNON-STAMM ASSOCIATES, INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013 Stopped on 01/27/16	7100-000		223.86	444,318.05
09/15/15	11208	D'MELLO + FELMUS DESIGN, INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013 Stopped on 01/27/16	7100-000		1,556.00	442,762.05
09/15/15	11209	DAY PITNEY LLP	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		6,136.89	436,625.16
09/15/15	11210	MILBANK, TWEED, HADLEY & MCCLOY LLP	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		2,067.91	434,557.25
09/15/15	11211	ANDREW SHERMAN	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		4,209.38	430,347.87
09/15/15	11212	ANSEL ROSS ESHELMAN	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		7,745.25	422,602.62
09/15/15	11213	LELAND JAMES GERSHELL	4th Interim Distribution 10.00% pursuant order	7100-000		587.63	422,014.99

Subtotals : \$0.00 \$83,488.13

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			dated June 20, 2013				
09/15/15	11214	VINCENT MICHAEL FITZGERALD	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		5,451.11	416,563.88
09/15/15	11215	JAMES MCCARVILL	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,477.44	415,086.44
09/15/15	11216	JARON SIMON LUKASIEWICZ	4th Interim Distribution 10.00% pursuant order dated June 20, 2013	7100-000		1,515.38	413,571.06
09/15/15	11217	IRS	3RD QTR 2015 26-4056225 (4TH INTERIM DISTRIBUTION)			15,738.97	397,832.09
			EEU Fed W/H 31.25	7100-000			397,832.09
			EEU Fica w/h 9.56	7100-000			397,832.09
			EEU Fed W/H 962.71	7100-000			397,832.09
			Employee Incom Tax 521.88 Dist for unsecured creditors	7100-000			397,832.09
			EEU Fed W/H 37.50	7100-000			397,832.09
			EEU Fed W/H 968.75	7100-000			397,832.09
			EEU Fed W/H 1,562.50	7100-000			397,832.09
			EEU Fed W/H 2,875.00	7100-000			397,832.09
			EEU Fed W/H 218.13	7100-000			397,832.09
			EEU Fed W/H 2,023.43	7100-000			397,832.09
			EEU Fed W/H 562.48	7100-000			397,832.09
			EEU Fica w/h 294.59	7100-000			397,832.09
			EEU Fica w/h 159.69	7100-000			397,832.09
			EEU Fica w/h 11.48	7100-000			397,832.09
			EEU Fica w/h 296.44	7100-000			397,832.09
			EEU Fica w/h 478.13	7100-000			397,832.09
			EEU Fica w/h 879.75	7100-000			397,832.09
			EEU Fica w/h 66.75	7100-000			397,832.09
			EEU Fica w/h 619.17	7100-000			397,832.09
			EEU Fica w/h 172.11	7100-000			397,832.09
			ERU Fica w/h Claim 2,987.67 #6U, 11U, 12U, 21U, 28U,35U,36U,37U,39U.4 9U	5800-000			397,832.09
09/15/15	11218	IRS	EIN# 26-4056225 2015 (4TH INTERIM DISTRIBUTION) Stopped on 09/25/15	2690-730		92.33	397,739.76

Subtotals : \$0.00 \$24,275.23

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 11-15896-MG

Case Name: MADISON WILLIAMS AND COMPANY LLC

Taxpayer ID #: \*\*-\*\*\*6225

Period Ending: 10/20/17

Trustee: GREGORY M. MESSER, TRUSTEE (520009)

Bank Name: Rabobank, N.A.

Account: \*\*\*\*\*9166 - Checking Account

Blanket Bond: \$77,473,905.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
09/15/15	11219	NYS EMPLOYMENT TAXES	EIN# 26-4056225 4th INTERIM DISTRIBUTION NYS INCOME TAXES	5800-000		326.51	397,413.25
09/25/15		U.S. STATES TREASURY	REFUND of Form 940 2014 (includes 2.38 of interest)			-134.62	397,547.87
			interest on refunded money -2.38	1224-000			397,547.87
		DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	refund of funds to IRS -132.24 (ck No. 11091)	5800-000			397,547.87
09/25/15	11218	IRS	EIN# 26-4056225 2015 (4TH INTERIM DISTRIBUTION) Stopped: check issued on 09/15/15	2690-730		-92.33	397,640.20
09/25/15	11220	IRS	EIN 26-4056255 2015 (4th interim distribution) FUTA W/H for Claim #6U, 11U, 12U, 21U, 28U, 35U, 36U,37U, 39U, 49U	5800-000		225.17	397,415.03
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		770.75	396,644.28
10/13/15	{39}	TXON PARTNERS LLC	Acct #5000629166; Payment #5; SETTLEMENT PAYMENT	1241-000	12,000.00		408,644.28
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		613.38	408,030.90
11/06/15	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #6; SETTLEMENT PAYMENT	1241-000	12,000.00		420,030.90
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		584.13	419,446.77
12/08/15	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #7; SETTLEMENT PAYMENT	1241-000	12,000.00		431,446.77
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		679.17	430,767.60
01/07/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #8; SETTLEMENT PAYMENT	1241-000	12,000.00		442,767.60
01/27/16	11207	SANNON-STAMM ASSOCIATES, INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013 Stopped: check issued on 09/15/15	7100-000		-223.86	442,991.46
01/27/16	11208	D'MELLO + FELMUS DESIGN, INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013 Stopped: check issued on 09/15/15	7100-000		-1,556.00	444,547.46
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		611.87	443,935.59
02/02/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #9; SETTLEMENT PAYMENT	1241-000	12,000.00		455,935.59
02/02/16	11221	SANNON-STAMM ASSOCIATES, INC.	4th Interim Distribution 10.00% pursuant order dated June 20, 2013 . replacement check	7100-000		223.86	455,711.73
02/02/16	11222	FELMUS DESIGN, INC.	4th Interim Distribution 10.00% pursuant order	7100-000		1,556.00	454,155.73

Subtotals :

\$60,000.00

\$3,584.03

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
			dated June 20, 2013. replacement check				
02/09/16	{43}	MR & MRS BRUCE CUMMINGS	SETTLEMENT PAYMENT PURSUANT TO STIPULATION OF SETTLEMENT DATED FEBRUARY 3, 2016.	1249-000	87,500.00		541,655.73
02/22/16	{38}	FLETCHER INTERNATIONAL LTD	PAYMENT ON PROOF OF CLAIM FOR THE ESTATE OF FLETCHER INTERNATIONAL	1290-000	1,751.58		543,407.31
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		699.02	542,708.29
03/08/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #10; SETTLEMENT PAYMENT	1241-000	12,000.00		554,708.29
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		868.79	553,839.50
04/04/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #11; SETTLEMENT PAYMENT	1241-000	12,000.00		565,839.50
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		781.72	565,057.78
05/02/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #12; SETTLEMENT PAYMENT	1241-000	12,000.00		577,057.78
05/09/16		UNITED STATES TREASURY	REFUND FOR CL			-555.33	577,613.11
		DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	REFUND -CK #11220 (225.17) & Ck 11171 (326.47)	-551.64 5800-000			577,613.11
			INT EARNED ON REFUND ON EMPLOYER UNSEC FUTA PAYMENT	-3.69 1224-000			577,613.11
05/16/16	11223	GREGORY M. MESSER	THIRD INTERIM FEE AWARD PURSUANT TO ORDER DATED 5/13/16	2100-000		14,000.00	563,613.11
05/16/16	11224	LAMONICA HERBST & MANISCALCO, LLP	THIRD INTERIM AWARD FOR FEES AND EXPENSES PURSUANT TO ORDER DATED 5/13/16			302,504.53	261,108.58
		LAMONICA HERBST & MANISCALCO, LLP	THIRD INTERIM FEE APP + HOLDBACK	291,227.50 3210-000			261,108.58
		LAMONICA HERBST & MANISCALCO, LLP	THIRD INTERIM REQUEST FOR EXPENSES	11,277.03 3220-000			261,108.58
05/16/16	11225	DAVIS, GRABER, PLOTZKER & WARD, LLP	THIRD INTERIM FEE AND EXPENSE AWARD PURSUANT TO ORDER DATED 5/13/16			109,753.51	151,355.07
		DAVIS, GRABER, PLOTZKER & WARD, LLP	THIRD INTERIM FEE AWARD	109,666.00 3410-000			151,355.07
		DAVIS, GRABER, PLOTZKER &	THIRD INTERIM	87.51 3420-000			151,355.07

Subtotals :                      \$125,251.58                      \$428,052.24

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*9166 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		WARD, LLP	EXPENSE AWARD				
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		764.83	150,590.24
06/01/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #13; SETTLEMENT PAYMENT	1241-000	12,000.00		162,590.24
06/06/16	{39}	TXON PARTNERS, LLC	Acct #5000629166; Payment #13; BALANCE OF PAYMENT FOR MAY	1241-000	13,000.00		175,590.24
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		337.53	175,252.71
07/01/16	{39}	TXON PARTNERS LLC	Acct #5000629166; Payment #14; SETTLEMENT PAYMENT	1241-000	25,000.00		200,252.71
07/14/16	{45}	CORTINA MANAGEMENT	SETTLEMENT OF LITIGATION	1249-000	11,250.00		211,502.71
07/14/16	{45}	RIDGEVIEW CAPITAL MANAGEMENT	SETTLEMENT PAYMENT ON LITIGATION	1249-000	11,250.00		222,752.71
07/14/16	{45}	UELS, LLC	SETTLEMENT PAYMENT PURSUANT TO STIPULATION DATED 6/5/16	1249-000	52,500.00		275,252.71
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		321.89	274,930.82
08/19/16	{46}	STATE OF NEW YORK UNEMPLOYMENT INSURANCE FUND	CREDIT NYS-45	1224-000	488.08		275,418.90
08/22/16	{44}	WILLIAM SPRAGUE	SETTLEMENT PAYMENT	1249-000	30,000.00		305,418.90
08/23/16	11226	GREGORY MESSER, TRUSTEE	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/30/2016 FOR CASE #11-15896, SDNY BOND # 016030120	2300-000		60.08	305,358.82
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		445.46	304,913.36
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		437.37	304,475.99
03/30/17	11227	GREGORY M. MESSER	Final Distribution Dividend	2100-000		16,337.51	288,138.48
03/30/17	11228	LAMONICA HERBST & MANISCALCO, LLP	Final Distribution Dividend	3210-000		147,613.81	140,524.67
03/30/17	11229	LAMONICA HERBST & MANISCALCO, LLP	Final Distribution Dividend	3220-000		4,205.55	136,319.12
03/30/17	11230	DAVIS, GRABER, PLOTZKER & WARD, LLP	Final Distribution Dividend	3410-000		71,341.73	64,977.39
03/30/17	11231	DAVIS, GRABER, PLOTZKER & WARD, LLP	Final Distribution Dividend	3420-000		26.52	64,950.87
03/30/17	11232	CLERK	Final Distribution Dividend	2700-000		1,465.00	63,485.87
03/30/17	11233	NYS EMPLOYMENT TAXES	Final Distribution Dividend	5800-000		800.01	62,685.86
03/30/17	11234	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Final Distribution Dividend	5800-000		117.08	62,568.78

Subtotals : \$155,488.08 \$244,274.37

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** Rabobank, N.A.

**Account:** \*\*\*\*\*9166 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
03/30/17	11235	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	Final Distribution Dividend	5800-000		1,492.71	61,076.07
03/30/17	11236	Haynes and Boone, LLP	Final Distribution Dividend	7100-000		296.32	60,779.75
03/30/17	11237	FedEx Tech Connect Inc as Assignee	Final Distribution Dividend	7100-000		107.48	60,672.27
03/30/17	11238	AVANT BUSINESS SERVICES CORP.	Final Distribution Dividend	7100-000		2.18	60,670.09
03/30/17	11239	QUALITY BUILDING SERVICES CORP.	Final Distribution Dividend	7100-000		484.07	60,186.02
03/30/17	11240	MICHELLE M. KERR	Final Distribution Dividend	7100-000		42.11	60,143.91
03/30/17	11241	OMGEO LLC	Final Distribution Dividend	7100-000		128.54	60,015.37
03/30/17	11242	SS & C TECHNOLOGIES INC.	Final Distribution Dividend	7100-000		147.04	59,868.33
03/30/17	11243	American Express Travel Related Services Co Inc	Final Distribution Dividend	7100-000		1,699.40	58,168.93
03/30/17	11244	BLANK ROME LLP	Final Distribution Dividend	7100-000		3,828.37	54,340.56
03/30/17	11245	SPENCER HAN NAM	Final Distribution Dividend	7100-000		1,297.28	53,043.28
03/30/17	11246	GEORGE HENRY BILLINGTON	Final Distribution Dividend	7100-000		703.25	52,340.03
03/30/17	11247	HOUSTON CITY TEMPORARIES, INC.	Final Distribution Dividend	7100-000		57.82	52,282.21
03/30/17	11248	SUNGARD INSTITUTIONAL BROKERAGE INC.	Final Distribution Dividend Stopped on 08/30/17	7100-001		833.83	51,448.38
03/30/17	11249	EISNERAMPER LLP	Final Distribution Dividend	7100-000		10,134.35	41,314.03
03/30/17	11250	INTERIOR FOLIAGE DESIGN INC	Final Distribution Dividend	7100-000		74.34	41,239.69
03/30/17	11251	LAURENCE G. JONES ARCHITECTS, PLLC	Final Distribution Dividend	7100-000		137.69	41,102.00
03/30/17	11252	JEANANN V. HARRIS	Final Distribution Dividend	7100-000		50.53	41,051.47
03/30/17	11253	MICHAEL DARREN BODINO	Final Distribution Dividend	7100-000		3,195.72	37,855.75
03/30/17	11254	OPTIONS PRICE REPORTING AUTHORITY, LLC (OPRA)	Final Distribution Dividend	7100-000		52.97	37,802.78
03/30/17	11255	BUCHAN CONSULTING PTY LTD.	Final Distribution Dividend	7100-000		661.50	37,141.28
03/30/17	11256	Stacy R. Kincaid	Final Distribution Dividend	7100-000		12,958.16	24,183.12
03/30/17	11257	ROBERT SEAN URQUHART	Final Distribution Dividend	7100-000		1,305.43	22,877.69
03/30/17	11258	SANNON-STAMM ASSOCIATES, INC.	Final Distribution Dividend	7100-000		111.97	22,765.72
03/30/17	11259	D'MELLO + FELMUS DESIGN, INC.	Final Distribution Dividend	7100-000		778.31	21,987.41
03/30/17	11260	DAY PITNEY LLP	Final Distribution Dividend	7100-000		3,069.66	18,917.75
03/30/17	11261	MILBANK, TWEED, HADLEY &	Final Distribution Dividend	7100-000		1,034.37	17,883.38

Subtotals : \$0.00 \$44,685.40

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)

**Bank Name:** Rabobank, N.A.

**Account:** \*\*\*\*\*9166 - Checking Account

**Blanket Bond:** \$77,473,905.00 (per case limit)

**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225

**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		MCCLOY LLP					
03/30/17	11262	ANSEL ROSS ESHELMAN	Final Distribution Dividend	7100-000		3,874.17	14,009.21
03/30/17	11263	LELAND GERSHELL	Final Distribution Dividend	7100-000		278.77	13,730.44
03/30/17	11264	VINCENT MICHAEL FITZGERALD	Final Distribution Dividend	7100-000		2,726.64	11,003.80
03/30/17	11265	JAMES MCCARVILL	Final Distribution Dividend	7100-000		739.01	10,264.79
03/30/17	11266	JARON SIMON LUKASIEWICZ	Final Distribution Dividend Stopped on 08/30/17	7100-001		757.99	9,506.80
03/30/17	11267	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	Final Distribution Dividend Stopped on 08/30/17	7100-001		1,030.41	8,476.39
03/30/17	11268	ANDREW SHERMAN	Final Distribution Dividend	7100-000		2,105.53	6,370.86
03/30/17	11269	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	EE PORITON OF FICA TAXES	2690-720		1,492.71	4,878.15
03/30/17	11270	DEPARTMENT OF THE TREASURY - INTERNAL REVENUE SERVICE	EE FEDERAL WITHHOLDING TAXES	2690-720		4,878.15	0.00
08/30/17	11248	SUNGARD INSTITUTIONAL BROKERAGE INC.	Final Distribution Dividend Stopped: check issued on 03/30/17	7100-001		-833.83	833.83
08/30/17	11266	JARON SIMON LUKASIEWICZ	Final Distribution Dividend Stopped: check issued on 03/30/17	7100-001		-757.99	1,591.82
08/30/17	11267	BURLESON LLP (F/N/A BURLESON COOKE, L.L.P.)	Final Distribution Dividend Stopped: check issued on 03/30/17	7100-001		-1,030.41	2,622.23
08/30/17	11271	CLERK, US BANKRUPTCY COURT	TURN OVER OF UNCLAIMED DIVIDENDS	7100-000		2,622.23	0.00

<b>ACCOUNT TOTALS</b>	<b>3,376,389.88</b>	<b>3,376,389.88</b>	<b>\$0.00</b>
Less: Bank Transfers	1,249,395.65	39,533.84	
<b>Subtotal</b>	<b>2,126,994.23</b>	<b>3,336,856.04</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$2,126,994.23</b>	<b>\$3,336,856.04</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*1666 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/15/13		From Account #*****9166	Vincent Michael Fitzgerald - Escrow of distribution	9999-000	39,157.44		39,157.44
11/27/13	101	SOUTH SHORE RECORDS MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. 0042035 ACCT 10411	2410-000		233.98	38,923.46
02/18/14		From Account #*****9166	TRANSFER OF FUNDS	9999-000	233.98		39,157.44
03/13/14	{28}	TRINTY RIVER RESOURCES, LP	TURN OVER OF ACCOUNTS RECEIVABLE FROM DEMAND LETTER	1221-000	729.41		39,886.85
03/18/14	102	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS ACCT 10411 INV. 0043128	2410-000		142.42	39,744.43
03/26/14		From Account #*****9166	TRANSFER OF FUNDS	9999-000	142.42		39,886.85
05/19/14	{30}	NEWTECH DRILLING PRODUCTS, LLC	UNREIMBURSED EXPENSES	1249-000	5,416.13		45,302.98
06/11/14	103	GREGORY MESSER, TRUSTEE	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/11/2014 FOR CASE #11-15896, blanket bond premium 016030120	2300-000		131.06	45,171.92
07/07/14	104	SOUTH SHORE RECORD MANAGEMENT	STORAGE OF BOOKS AND RECORDS INV. NOS. 43671,44225, 43955	2410-000		292.85	44,879.07
09/02/14		To Account #*****9166	TRANSFER OF FUNDS	9999-000		44,879.07	0.00
09/10/15		TXON PARTNERS, LLC	Acct #5000629166; Payment #5; SETTLEMENT PAYMENT	1241-000	12,000.00		12,000.00
09/10/15		TXON PARTNERS	Acct #5000629166; Payment #6; DEPOSIT REVERSAL	1241-000	-12,000.00		0.00

<b>ACCOUNT TOTALS</b>	<b>45,679.38</b>	<b>45,679.38</b>	<b>\$0.00</b>
Less: Bank Transfers	39,533.84	44,879.07	
<b>Subtotal</b>	<b>6,145.54</b>	<b>800.31</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$6,145.54</b>	<b>\$800.31</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 11-15896-MG  
**Case Name:** MADISON WILLIAMS AND COMPANY LLC

**Trustee:** GREGORY M. MESSER, TRUSTEE (520009)  
**Bank Name:** Rabobank, N.A.  
**Account:** \*\*\*\*\*1667 - Checking Account  
**Blanket Bond:** \$77,473,905.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*6225  
**Period Ending:** 10/20/17

1	2	3	4		5	6	7
Trans. Date	{Ref #} / Check #	Paid To / Received From	Description of Transaction	T-Code	Receipts \$	Disbursements \$	Checking Account Balance

(No Transactions on File for this Period)

<b>ACCOUNT TOTALS</b>	<b>0.00</b>	<b>0.00</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	0.00	
<b>Subtotal</b>	<b>0.00</b>	<b>0.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$0.00</b>	

Net Receipts :	3,366,820.19
Plus Gross Adjustments :	6.07
Net Estate :	\$3,366,826.26

	Net Receipts	Net Disbursements	Account Balances
<b>TOTAL - ALL ACCOUNTS</b>			
Checking # ****-*****91-65	1,233,680.42	29,163.84	0.00
Checking # *****9166	2,126,994.23	3,336,856.04	0.00
Checking # *****1666	6,145.54	800.31	0.00
Checking # *****1667	0.00	0.00	0.00
	<b>\$3,366,820.19</b>	<b>\$3,366,820.19</b>	<b>\$0.00</b>